IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alexander MacGregor

Serial No.: 10/006,740

Filed: December 5, 2001

For: HYDROSTATIC DELIVERY SYSTEM

FOR CONTROLLED DELIVERY OF

AGENT

Art Unit: 3762

Examiner: Unassigned

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an

envelope addressed to: Commissioner for Patents

Box Missing Parts

Arlington, VA 22202, on this date.

03/25/2002 Date

Robert Wickman

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents Box Missing Parts Arlington, VA 22202

Sir:

In response to the Notice to File Missing Parts mailed January 25, 2002, the following documents are submitted herewith:

- 1) A copy of the Notice to File Missing Parts;
- 2) Preliminary Amendment with attached Declaration;
- 3) A copy of U.S. Provisional Application Serial No. 60/251,751;
- 4) Executed Declaration(s) for Patent Application;
- 5) Check in the amount of \$130 for the non-small entity surcharge;
- 6) Return postcard.

Regarding the omitted pages from the application (pages 29-31), the Applicant elects not to take action pursuant to options (I) or (II) listed in the Notice to File Missing Parts. It is understood that this constitutes a constructive acceptance of the application as deposited in the USPTO, thereby maintaining as the filing date the date of deposit of the application papers in the USPTO and original application papers present in the USPTO on the date of deposit.



U.S.S.N. 10/006,740 A. MACGREGOR RESPONSE TO NOTICE TO FILE MISSING PARTS

As noted in the attached Preliminary Amendment, the application, which incorporates U.S. Provisional Application Serial No. 60/251,751 in its entirety, was complete as filed. A Preliminary Amendment is provided to amend the specification to add material that was previously incorporated in its entirety from U.S. Provisional Application Serial No. 60/251,751. A copy of the above mentioned provisional application and a Declaration regarding the material added by the amendment are provided herewith.

The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,

HELLER EHRMAN WHITE & MCAULIFFE LLP

By:

Stephanie/Seidman

Registration No. 33,779

Attorney Docket 23936-176

Address all correspondence to:
HELLER EHRMAN WHITE & McAULIFFE LLP
4350 La Jolla Village Drive, 7th Floor
San Diego, California 92122-1246

Telephone: (858) 450-8400 Facsimile: (858) 587-5360 E-mail: sseidman@HEWM.com



United States Patent and P OFFICE

APR 0 2 20002

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231

APPLICATION NUMBER

FILING/RE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/006,740

12/05/20017RANCELE

Alexander MacGregor

23936-176

CONFIRMATION NO. 2553

FORMALITIES LETTER

OC00000000073607141

Stephanie Seidman Heller Ehrman White & McAuliffe LLP 7th Floor 4350 La Jolla Village Drive San Diego, CA 92122

Date Mailed: 01/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The following item(s) appear to have been omitted from the application:

- Page(s) 29,30 and 31 of the specification (description and claims).
- I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within TWO MONTHS of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.
- II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date must be filed within TWO MONTHS of the date of this Notice.
- III. The failure to file a petition (and petition fee) under the above options (I) or (II) within TWO MONTHS of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR

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1.136(a) or (b). In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caus d by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



BOX PROVISIONAL PATENT APPLICATION COMMISSIONER FOR PATENTS Washington, D.C. 20231

"Express Mail" Mailing Label Number

EL675147332US

Date of Deposit December 5, 2000

I hereby certify that this paper and the attached papers are being deposited with the United States Postal "Express Mail Post Office to Addressee"

Service under 37 CFR §1.10 on the date indicated above and addressed to: Commissioner for Patents, Washington, D.C. 20231

Sir:

Transmitted herewith for filing is a PROVISIONAL Patent Application under 37 C.F.R. §1.153(b)(2) of:

	Attorney Dkt. No. 23936-P176		Type a (+) in this box →	+
LAST NAME	FIRST NAME	MIDDLE	RESIDENCE(City/State/Country	
MacGregor	Alexander		Scarborough, Ontario, CANADA	

For: Hydrostatic Delivery System for Controlled Delivery of Agent

The subject matter in this application was <u>not</u> made under contract with an agency of the United States Government.

Enclosed are:

- [X] The specification containing $\underline{39}$ pages including $\underline{32}$ claims; and $\underline{4}$ sheets containing $\underline{4}$ Figures.
- [X] Status as Small Entity is CLAIMED reducing Filing Fee by one-half to

<u>\$75.00</u>

PROVISIONAL APPLICATION DOCKET NO. 23936-P176 MacGregor

- [X] A check in the amount of \$ 75.00 to cover the filing fee for a small entity.
- [] Charge \$___ to Deposit Account No. 50-1213.
- [X] The Commissioner is hereby authorized to charge any fees, including any due herein, that may be required in this application under during its entire pendency, or credit any overpayment, to Deposit Account No. 50-1213. If proper payment is not enclosed, such as a check in the wrong amount, unsigned, post-dated, otherwise improper or informal, or absent, the Commissioner is authorized to charge the unpaid amount or total amount due to Deposit Account No. 50-1213 during the entire pendency of this application. This sheet is filed in triplicate.

Respectfully submitted, HELLER EHRMAN WHITE & McAULIFFE LLP

By:

Stephanie L. Seidman Registration No. 33,779

Attorney Docket No. 23936-P176

Address all correspondence to:
Stephanie Seidman

HELLER EHRMAN WHITE & McAULIFFE LLP

4250 Executive Square, 7th Floor

La Jolla, CA 92037

Telephone: 858 450-8400

Facsimile: 858 450-8400 email:sseidman@HEWM.com

THE STAMP OF THE U.S. PATENT AND TRADEMARK OFFICE AFFIXED HERETO WILL BE EVIDENCE OF RECEIPT OF THE FOLLOWING (MAILED 12/05/00 BY EXPRESS MAIL, LABEL EL675147332US

DOCKET NO .:

23936-P176, SLS:clg

ENCLOSURES:

TRANSMITTAL OF PROVISIONAL APPLICATION (IN TRIPLICATE);

PROVISIONAL PATENT APPLICATION; SPECIFICATION CONTAINING 39 PAGES INCLUDING CLAIMS; 4 SHEETS CONTAINING 4 FIGURES; CHECK FOR \$75.00; and RETURN

POSTCARD MacGregor

APPLICANT: SERIAL NO:

FOR:

New U.S. Provisional Application

HYDROSTATIC DELIVERY SYSTEM FOR CONTROLLED DELIVERY

OF AGENT

PLEASE STAMP HERE (THANK YOU):

DEC 06 2000:

THE STAMP OF THE U.S. PATENT AND TRADEMARK OFFICE AFFIXED HERETO WILL BE EVIDENCE OF RECEIPT OF THE FOLLOWING (MAILED 12/05/00 BY

EXPRESS MAIL, LABEL EL675147332US

DOCKET NO .: ENCLOSNRES: TRANSMITTAL OF PROVISIONAL APPLICATION (IN TRIPLICATE);

PROVISIONAL PATENT APPLICATION; SPECIFICATION CONTAINING 39 PAGES INCLUDING CLAIMS; 4 SHEETS CONTAINING 4 FIGURES; CHECK FOR \$75.00; and RETURN

POSTCARD

APPLICANT: SERIAL NO:

FOR:

New U.S. Provisional Application
HYDROSTATIC DELIVERY SYSTEM FOR CONTROLLED DELIVERY New U.S. Provisional Application MacGregor

OF AGENT

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PLEASE STAMP HERE (THANK YOU):

DOCKETED:

U.S. PTO

DEC 06 2000